

REGULATORY MATTERS

An investigation into you personally, or a company of which you may be an employee or officer, can be daunting, stressful and equally disruptive.

Mackrell Turner Garrett has the expertise, dedication and professionalism to assist in a very wide range of regulatory and compliance scenarios such as:

- Enquiries by business / compliance regulators – the most common being the Financial Conduct Authority; Insolvency Service and Department for Business Innovation and Skills and Trading Standards;
- Breaches of Financial Services and Markets Act (FSMA)
- Police enquiries (see Corporate and Business Crime);
- Sports regulators and tribunals;
- Health and safety;
- Firearms.

Our aim is simple – to help you deal with any situation and to obtain the best possible outcome. We can draw on a wealth of experience in handling investigations carried out by regulators.

Common queries that we are regularly instructed to assist with start when a regulator, or their authorised personnel, attend a business or private residence with a view to conducting a search and seizure exercise. Such an event can be very overwhelming and often individuals are unaware of the extent of the powers available to the regulators. The most common are:

- Section 2 Criminal Justice Act 1987 – Compels person or company to provide information and to answer questions;
- Section 447 Companies Act 1985 – Requires a person or company to answer questions and provide specified documents;
- Police and Criminal Evidence Act (PACE) – Gives a range of powers for search and seizure.

Our team can assist throughout this process and ensure that minimal disruption is caused to your businesses or personal life.

Following on from this initial stage we are then well placed to offer advice, attend any meetings or interviews and of course also to correspond with the regulator on your behalf.

MTG are able to provide guidance in the event that your premises are subjected to an investigation.

The following are available for you to download on our website as an initial guide:

1. What to do if the authorities raid your premises
2. The Dawn Raid Manual

For more information call **00 44 (0) 20 7240 0521** or email Maya.Paurana@mackrell.com.

www.mackrell.com

To find out more about how we can help you, please contact us:



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